



Sector #3

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM (To be used for all correspondence after initial filing)	Application Number	09/933, 640	
	Filing Date	August 20, 2001	
	First Named Inventor	Eliezer MASLIAH	
	Group Art Unit	1632	
	Examiner Name	UNKNOWN	
Total Number of Pages in This Submission	11	Attorney Docket Number	6627-PA1071

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Assignment recordation (3 pgs.); check in the amount of \$105.00; copy of notification of missing parts; return postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
ATTORNEY NAME	Colleen J. McKiernan 48,570
FIRM	BROWN, MARTIN, HALLER & McCLAIN, LLP
SIGNATURE	
DATE	November 13, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 13, 2001			
Typed or printed name	Melissa J. Leffler		
Signature		Date	November 13, 2001

#3

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001



TOTAL AMOUNT OF PAYMENT (\$105.00)

Complete if Known

Application Number	09/933,640
Filing Date	August 20, 2001
First Named Inventor	Eliezer MASLIAH
Examiner Name	UNKNOWN
Group / Art Unit	1632
Attorney Docket No.	6627-PA1071

METHOD OF PAYMENT (check one)1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 02-4070
 Deposit Account Name: BROWN, MARTIN, HALLER & McCLAIN

☒ Charge Any Additional Fee Required Under 37 C.F.R. §§1.16 and 1.17☐ Applicant claims small entity status. See 37CFR 1.272. ☒ Payment Enclosed:☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20**=	X	=
Independent Claims	- 3**=	X	=
Multiple Dependent			=

**or number previously paid, if greater. For Reissues, see below.

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - Late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$105.00)

SUBMITTED BY

Name (Print/Type)	Colleen J. McKiernan	Registration No. (Attorney/Agent)	48,570	Telephone	(619) 238-0999
Signature				Date	November 13, 2001

WARNING:
 Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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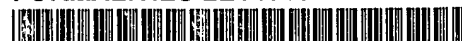
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,640	08/20/2001	Eliezer Masliah	6627-PA1071

CONFIRMATION NO. 6686

FORMALITIES LETTER



OC000000006592845

BROWN, MARTIN, HALLER & McCLAIN, LLP
1660 UNION STREET
SAN DIEGO, CA 92101-2926

Date Mailed: 09/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

TN
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BABRAHA1 00000066 09933640

01 FC:205

65.00 OP

FROM
BROWN MARTIN HALLER & MCCLAIN LLP
ATTORNEYS AT LAW
1660 UNION STREET
SAN DIEGO, CALIFORNIA 92101-2926

TO
Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

6627-PH1071

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#3



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of)	
Eliezer MASLIAH)	FOR: DEVELOPMENT TO TRANSGENIC
Serial No.: 09/933,640)	MODEL FOR INTERVENTIONS IN
)	NEURODEGENERATIVE
)	DISEASES
Filed: August 20, 2001)	
)	Group
)	Art Unit: 1632

LETTER IN RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Commissioner for Patents
Washington, D.C. 20231
Box Missing Part

Dear Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted,
which was mailed by the Office on September 24, 2001, enclosed are the following:

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope addressed
to: Commissioner for Patents , Washington, D.C. 20231 on:

November 13, 2001
(Mailing Date)

Melissa J. Leffler
(Registered Representative)

(Signature)

November 13, 2001
(Date of Signature)

1. Transmittal Letter
2. Fee Transmittal/check in the amount of \$105.00 for the surcharge fee;
3. Office's copy of Formalities Letter;
4. Executed Declaration/Assignment;
5. Return postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4070, reference number 6627-PA1071. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Dated: November 13, 2001

By: 

Colleen J. McKiernan
Agent for Applicant
Registration No. 48,570

BROWN MARTIN HALLER & McCLAIN LLP
1660 Union Street
San Diego, California 92101

Telephone: (619) 238-0999
Facsimile: (619) 238-0062

Docket No.: 6627-PA1071